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Contrastive breathiness across consonants and vowels: A comparative study of Gujarati and White Hmong

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12 Gujarati and White Hmong are among a small handful of languages known to maintain a
13 phonemic contrast between breathy and modal voice across both obstruents and vowels.
14 Given that breathiness on stop consonants is realized as a breathy-voiced aspirated
15 release into the following vowel, how is consonant breathiness distinguished from vocalic
16 breathiness, if at all? We examine acoustic and electroglottographic data of potentially
17 ambiguous CV sequences collected from speakers of Gujarati and White Hmong, to
18 determine what properties reliably distinguish breathiness associated with stop consonants
19 from breathiness associated with vowels comparing both within and across these two
20 unrelated languages. Results from the two languages are strikingly similar: only the
21 early timing and increased magnitude of the various acoustic reflexes of breathiness
22 phonetically distinguish phonemic consonantal breathiness from phonemic vocalic
23 breathiness.

24 **1 Introduction**

25 Numerous languages exhibit contrastive breathy-voiced phonation either on obstruent
26 consonants as in Hindi (e.g. Ohala 1983, Dixit 1989), Bengali (Khan 2010a), and Maithili
27 (Yadav 1984) or on vowels as in many Zapotec languages (e.g. Jones & Knudson 1977,
28 Munro & Lopez 1999, Esposito 2010b). However, very few languages preserve this contrast
29 across both obstruent consonants AND vowels. While languages such as Suai (Abramson
30 & Luangthongkum 2001), Jalapa Mazatec (Kirk, Ladefoged & Ladefoged 1993), and Wa
31 (Watkins 1999, 2002) contain both breathy vowels and VOICELESS aspirated consonants,
32 languages that include both breathy vowels and BREATHY-VOICED aspirated consonants (also
33 known as breathy-aspirates) are exceptionally rare. This latter type appears to be limited to
34 some Khoisan languages (e.g. !Xóǀ, see Traill 1985; Ju|'hoansi, see Miller 2007), White

35 Hmong, and Gujarati. Languages such as these are particularly interesting because the
 36 breathiness on breathy-voiced aspirated stop consonants is typically realized not during the
 37 stop closure itself, but as a breathy-voiced release into the following vowel. Thus, both
 38 breathy vowels and prevocalic breathy-voiced aspirated stops involve breathy voicing during
 39 the vowel.

40 Previous research suggests that the voice quality of breathy vowels and breathy-voiced
 41 aspirated consonant releases should be similar from an articulatory standpoint. Ladefoged &
 42 Maddieson (1996) define both breathy-voiced aspirated stops (which they refer to as ‘murmur’
 43 following the terminology used in Ladefoged 1971) and breathy-voiced vowels in the same
 44 way; both involve vocal folds that vibrate without much contact and high rates of airflow. In
 45 comparing the Hindi minimal pair [bal] ‘hair’ and [b^hal] ‘forehead’, they observed breathy
 46 voicing for the first 100 ms following the stop release for the breathy-voiced aspirated stop
 47 [b^h]. In their fiberoptic study of one speaker of Hindi, Kagaya & Hirose (1975) observed that
 48 breathy-voiced aspirated consonants were largely identical to plain voiced stops up until the
 49 consonant release; after that point, glottal width increased, although not nearly to the extent
 50 seen in voiceless aspiration. This intermediate glottal width is key in maintaining voicing
 51 while allowing enough space for breathy airflow, further facilitated by the lack of supraglottal
 52 constriction. Kagaya & Hirose’s acoustic analysis also indicates that breathy-voiced aspirated
 53 consonants in Hindi have a significantly lowered f₀ at the consonant release relative to all
 54 other stop consonant types, consistent with findings in other non-tonal languages indicating
 55 a correlation between breathy vowels and lower f₀ (see Pandit 1957, Dave 1967, and Fischer-
 56 Jørgensen 1967 for Gujarati; Wayland, Gargash & Jongman 1994 for Javanese). Furthermore,
 57 in a photo-electroglottographic study on plosives in Hindi, Dixit (1989) found that the breathy-
 58 voiced aspirated consonants were produced by slack vocal folds, a moderately open glottis,
 59 a high rate of oral airflow, and a random distribution of noise. These four characteristics
 60 of breathy-voiced aspirated consonants are also properties of breathy vowels (see Gordon
 61 & Ladefoged 2001). Fischer-Jørgensen (1967) also described breathy-voiced aspirated stops
 62 in Gujarati as similar to a breathy vowel, with the main difference being the degree of
 63 noise.

64 However, other descriptions suggest that breathy-voiced aspirated consonants and breathy
 65 vowels are distinct. Laver (1981) defines the phonation of breathy vowels as involving low
 66 muscular effort, thus producing a wide glottis, while he defines the phonation of whispered
 67 voice (in which he includes breathy-voiced aspirated consonants) as involving a manipulation
 68 of the arytenoids such that the vocal folds vibrate modally along their length but with a
 69 posterior gap through which air flows continuously. Esling & Harris (2005), on the other
 70 hand, posit that the difference between whispery and breathy voice is not due to degree of
 71 glottal constriction, but rather due to an engagement of the aryepiglottic sphincter during
 72 whispery voice.

73 The current study examines acoustic and electroglottographic data collected from Gujarati
 74 and White Hmong to determine what properties reliably distinguish vowels following breathy-
 75 voiced aspirated consonant releases (e.g. [C^hV]) from phonemically breathy vowels (e.g.
 76 [CV]), and to explore the phonetic and phonological properties shared between these structures
 77 in the two genetically-unrelated languages. Given that breathiness on consonants is typically
 78 realized as a breathy-voiced release into the following vowel, how are the two types of
 79 breathiness distinguished in CV sequences, if at all?

80 We hypothesize that the difference between these segments is likely one of timing and/or
 81 degree of breathiness. In terms of timing, we predict that the breathiness associated with
 82 breathy-voiced aspirated consonants is localized to the consonant release and thus produced
 83 at the onset of a following vowel, while the breathiness associated with breathy vowels is
 84 produced across a larger portion of the vowel, with language-specific distinctions in its exact
 85 localization. We also predict that post-aspirated vowels exhibit a different degree (i.e. more
 86 or less breathiness) of breathiness than breathy vowels.

2 Background

Phonation contrasts can be made using a variety of articulatory mechanisms, which produce an array of acoustic effects available to the listener for the perception of linguistic voice quality. To investigate these dimensions of phonation, we begin by reviewing the acoustic properties of phonation contrasts and continue with electroglottographic properties, the two types of measurements used in the current study. To minimize undue repetition, we restrict the following review to languages other than Gujarati and White Hmong; these two languages of interest are discussed in much greater detail in Section 2.3.

2.1 Acoustic properties of phonation

Often the most robust acoustic differences between phonation types can be seen in the spectrum; breathy phonation has a more sharply falling spectrum than modal phonation, while creaky phonation is often characterized as having a nearly flat spectrum. This steepness in the spectrum can be measured as spectral balance or spectral slope. Spectral balance is defined as the difference between the amplitude of the first harmonic (H1) from that of the second harmonic (H2), i.e. H1-H2, and has been used to measure phonation in languages as diverse as Jalapa Mazatec (Blankenship 2002, Garellek & Keating 2010), !Xóǀ (Bickley 1982), Chanthaburi Khmer (Wayland & Jongman 2002), Green Mong (Andruski & Ratliff 2000), Takhian Thong Chong (DiCanio 2009), and Santa Ana del Valle Zapotec (Esposito 2010b). Spectral slope is measured as the difference between the amplitude of the first harmonic (H1) and that of harmonics exciting higher formants, i.e. H1-A1, H1-A2, and H1-A3. H1-A1 has been shown to reliably distinguish phonation types in !Xóǀ (Ladefoged 1983) while H1-A2 distinguished phonation types in Krathing Chong (Blankenship 2002). More commonly seen in the literature is H1-A3, which distinguishes phonation types in English (Stevens & Hanson 1995), Krathing Chong (Blankenship 2002), Takhian Thong Chong (DiCanio 2009), and Santa Ana del Valle Zapotec (Esposito 2010b). Esposito's (2010a) cross-linguistic study also looked at small sets of data in Krathing Chong, Fuzhou, Green Mong, White Hmong, Mon, San Lucas Quiaviní Zapotec, Santa Ana del Valle Zapotec, Tlacolula Zapotec, Tamang, and !Xóǀ, finding that spectral balance (i.e. H1-H2) and one or more of these three common measures of spectral tilt (i.e. H1-A1, H1-A2, H1-A3) differentiated phonation types in each language. In calculating spectral tilt or spectral balance, the amplitudes of harmonics can be corrected for the effects of the frequencies and bandwidths of adjacent formants (Hanson 1995); in this case, an asterisk (*) can be used to signify a corrected amplitude, e.g. H1*-A3*, a convention we adopt here.

Other spectral measures discussed in the voice quality literature include the difference in amplitude between the second and fourth harmonics (H2-H4), for measuring pathological voice quality (Kreiman, Gerratt & Antoñanzas-Barroso 2006), the average of H1-H2 compared to A1, for measuring non-contrastive voice quality in English (Stevens 1988), and formant amplitude differences such as A2-A3 in English (Klatt & Klatt 1990). These are, however, not widely used in studies of linguistically contrastive voice quality.

Acoustic measures of the spectrum have been associated with various physiological characteristics. Holmberg et al. (1995) showed that H1-H2 correlated with the open quotient (OQ) of the glottal cycle, i.e. the portion of time the vocal folds are open per cycle. The larger the open quotient (i.e. the longer the vocal folds are apart), the greater the amplitude of the first harmonic over that of the second harmonic. Thus, the value (in dB) of H1-H2 is higher for breathy phonation than for modal or creaky phonation. Furthermore, Stevens (1977) suggested that spectral tilt measures could be correlated with the abruptness of vocal fold closure. More abrupt vocal fold closure excites the higher harmonics; for breathy phonation, which typically involves less abrupt vocal fold closure, the higher harmonics are weakened,

136 and thus spectral tilt measures are higher for breathy phonation than for modal or creaky
137 phonation.

138 Depending on the language, dialect, vowel quality, tone, speaker sex or gender, and other
139 factors, not all spectral measures will distinguish phonation types. In *Mpi*, for example,
140 H1-H2 distinguishes phonation types on high tone vowels more reliably than on mid or
141 low tone vowels (Blankenship 2002). In Santa Ana del Valle Zapotec, H1-H2 successfully
142 distinguishes breathy, modal, and creaky phonation in female speech but not in male speech
143 (Esposito 2010b). Kreiman, Gerratt & Antoñanzas-Barroso (2007) showed that f_0 was
144 positively correlated with $H1^*-H2^*$ in non-disordered and pathological productions of the
145 vowel [a], while Iseli, Shue & Alwan (2007) found that $H1^*-H2^*$ was positively correlated
146 with f_0 only for speakers whose pitch was lower than 175 Hz.¹ Because females generally
147 speak in a higher pitch than males, some of the sex-specific effects of $H1^*-H2^*$ may be due
148 to its complex relation to f_0 .

149 In addition to spectral measures, measures of noise and/or aperiodicity in the signal can
150 also measure differences in voice quality. One such measure, cepstral peak prominence (CPP),
151 has been used in English (Hillenbrand, Cleveland & Erickson 1994); Krathing Chong, Jalapa
152 Mazatec, *Mpi*, and Tagalog (Blankenship 2002); and for a small set of data from Krathing
153 Chong, Fuzhou, Green Mong, White Hmong, Mon, San Lucas Quiavini Zapotec, Santa Ana
154 del Valle Zapotec, Tlacolula Zapotec, Tamang, and !Xóǀ (Esposito 2010a).

155 **2.2 Electroglottographic properties of phonation**

156 When invasive methods of articulatory research are either unavailable or inappropriate, an
157 electroglottograph (EGG) can be used as an indicator of the degree of contact between
158 the vocal folds over time, which can in turn help describe and categorize phonation types.
159 The EGG has been used to measure linguistic voice quality in Maa (Guion, Post & Payne
160 2004), Vietnamese (Michaud 2004), Santa Ana del Valle Zapotec (Esposito 2005), Tamang
161 (Michaud & Mazaudon 2006), Takhian Thong Chong (DiCanio 2009), and Yi (Kuang 2010,
162 2011), and non-linguistic voice quality in speakers with and without voice disorders. For
163 example, Childers & Lee (1991) used EGG measures to determine the characteristics of the
164 voice source during modal voice, vocal fry, falsetto, and breathy voice in both normal and
165 pathologically-disordered voices. They found that breathy phonation (as well as falsetto) was
166 produced with a longer glottal pulse width, lower pulse skewing (the ratio of the opening
167 phase to the closing phase), and less abrupt glottal closure than modal phonation. Using
168 acoustic data, they also found that breathy phonation was produced with high turbulent noise,
169 not seen in the other voice qualities.

170 The most common measure derived from the EGG is CQ, variously referred to as contact
171 quotient, closed quotient, and closing quotient. CQ is a ratio of the portion of time the vocal
172 folds are in a greater degree of contact over the total duration for a complete glottal cycle.
173 In the current study, calculating the edges of this portion of this ‘greater degree of contact’
174 involves a hybrid method with a 25% threshold (see Rothenberg & Mahshie 1988, Orlikoff
175 1991, Howard 1995, and Herbst & Ternström 2006). This means that the beginning of the
176 contact/closure phase (the portion with the ‘greater degree of contact’) is defined as the point
177 at which the first derivative of the EGG (dEGG) is at its peak, and the end of the contact/closure
178 phase is defined as the point 25% from the point of greatest opening (where 25% is calculated
179 from the time from closure peak to opening peak). CQ is the inverse of the open quotient
180 measure (OQ). Acoustic and electroglottographic studies of contrastive voice quality/register
181 in Takhian Thong Chong (DiCanio 2009) and White Hmong (Esposito, in press) compared
182 OQ with H1-H2 and H1-A3, finding that OQ was more closely correlated with H1-H2
183 than with H1-A3, confirming Holmberg et al.’s (1995) study. Assuming a unidimensional

¹ The Iseli et al. (2007) study also found that $H1^*-H2^*$ was dependent on vowel height for speakers whose pitch was higher than 175Hz.

184 model of phonation based on glottal opening (Ladefoged 1971, Gordon & Ladefoged 2001),
 185 phonations with a wider opening (e.g. breathy voice) are expected to have lower CQ values
 186 than do phonations with greater vocal fold contact (e.g. modal voice, creaky voice).

187 The first derivative of the EGG, dEGG, is also useful in measuring voice quality. The peak
 188 positive value in the dEGG for each glottal pulse represents the amplitude of the increase in
 189 contact between the vocal folds; this value is variably referred to as Peak Increase in Contact
 190 (PIC; see Keating et al. 2010) or as dEGG Closure Peak Amplitude (DECPA; see Michaud
 191 2004 for Mandarin, Naxi and Vietnamese; see Vū-Ngọc, d’Alessandro & Michaud 2005
 192 for Vietnamese). In this way, DECPA can represent the speed of the vocal folds during the
 193 closing phase; phonations produced with faster glottal closure have greater DECPA values
 194 than phonations produced with slower glottal closure.² Of course, the vocal folds need not
 195 actually fully close to derive a DECPA value, as what is being measured is the increase in
 196 contact between the folds. It is not uncommon in breathy phonation and similar voice qualities
 197 for the folds to come into contact while still leaving a partially open glottis, allowing air to
 198 pass through.

199 2.3 About the languages

200 2.3.1 Gujarati

201 Gujarati is an Indo-European language (Indo-Iranian branch, Central Indic group) spoken
 202 primarily in Gujarat state in India, with significant minority populations in other central-
 203 western Indian states including Maharashtra (with a large community in Mumbai), Rajasthan,
 204 Karnataka, and Madhya Pradesh, and in long-established immigrant communities throughout
 205 the UK, North America, East Africa, and elsewhere (Lewis 2009).

206 Like other Indic languages, Gujarati has a four-way contrast in voicing and aspiration in
 207 stops and affricates, including voiceless unaspirated, voiceless aspirated, modally-voiced
 208 unaspirated, and breathy-voiced aspirated consonants across five places of articulation:
 209 bilabial, dental, retroflex, alveolopalatal (affricate), and velar (Nair 1979, Masica 1993,
 210 Cardona & Suthar 2003).³ In the vocalic inventory, the most conservative dialects show an
 211 eight-vowel system [i e ε a ə ɔ o u] in modal phonation, while other dialects (e.g. Saurashtra)
 212 show a six-vowel system [i e a ə o u] (Firth 1957: 231–232; Pandit 1961: 62–63). Gujarati also
 213 has a set of breathy vowels, most of which are modern reflexes of what were once sequences
 214 of vowels and breathy consonants (Pandit 1957: 169–170; Dave 1967: 1–2; Fischer-Jørgensen
 215 1967: 73; Nair 1979: 9; Masica 1993: 120; Mistry 1997: 666–669; Cardona & Suthar 2003:
 216 665–666).⁴ Breathly vowels that derive from such structures come in four types, based on their
 217 historical source sequence. One very common source is [əɦV]; breathy vowels [ə̤ ɛ̤ ɔ̤ ɔ̤
 218 ə̤w] are the modern reflex of what is historically and orthographically [əɦə əɦa əɦe əɦo əɦi
 219 əɦu], respectively (e.g. [b̤ar] ‘outside’, orthographically બહાર (bəɦarə)).

220 Less frequent sources of breathy vowels include [Vɦə], [#ɦ], and [VCɦ]. Historical and
 221 orthographic [Vɦə] is optionally rendered as a single breathy vowel in modern Gujarati, e.g.
 222 [v̤ən] ~ [vəɦən] ‘vehicle’. In very casual speech, a third type of breathy vowel comes as the
 223 result of the optional lenition of word-initial [ɦ], as in [v̤l̤:ət̤] ~ [ɦul̤:ət̤] ‘riot’. Lastly, post-
 224 vocalic breathy-voiced aspirated consonants [b̤ɦ d̤ɦ d̤ɦ d̤ɦ g̤ɦ] optionally lose their aspiration in

² For more information on EGG measures see Childers & Krishnamurthy (1985), Baken & Orlikoff (2000), and Henrich et al. (2004).

³ Some dialects do not preserve all stop/affricate consonant contrasts; many speakers produce fricatives in place of (typically aspirated) consonants, including [f] in place of [pɦ], [z] in place of [t̤ɦ] and/or [t̤ɦɦ], and [ç] in place of [c̤ɦ] (Firth 1957: 235; Cardona & Suthar 2003: 663–665).

⁴ A small set of words such as [k̤ro] ‘wall’ and [n̤n̤u] ‘small’ contain breathy vowels believed to not be derived from sequences of modal vowels and [ɦ] (Masica 1993: 147; Mistry 1997: 668; Cardona & Suthar 2003: 666).

225 very casual speech, with their associated breathiness transferred to surrounding vowels; they
 226 can also be lenited to fricatives or approximants in these situations, e.g. [bəd̪h̪ū] ~ [bəd̪h̪ū] ~
 227 [bəd̪h̪ū] ‘whole’ (Firth 1957: 235; Pandit 1957: 171; Mistry 1997: 667; Cardona & Suthar
 228 2003: 666).

229 Due to various sociolinguistic pressures, breathy vowels are often not produced as such
 230 in particular contexts. Pandit (1957: 170), Dave (1967: 2), Nair (1979: 22), and Cardona
 231 & Suthar (2003: 666) report that many speakers have merged the breathy vowels with their
 232 corresponding modal vowels in what is often described as an ‘educated’ speech register,
 233 producing [bɛn] ‘sister’ as [bɛn]. Turner (1921: 529), Dave (1967: 4), Masica (1993: 120),
 234 and Cardona & Suthar (2003: 665–666) also report that speakers are more likely to produce
 235 breathy vowels as disyllabic sequences reflecting their orthographic representation, especially
 236 in formal settings or when reading, e.g. producing [bɛn] ‘sister’ as [bəɦɛn] or [bəɦɛn],
 237 orthographically બહેન (bəɦɛnə). Breathless vowels with a [əɦɪV] source are the least likely to
 238 be pronounced as a disyllabic sequence, but even words of this source have been reported to
 239 be produced in a spelling pronunciation (i.e. disyllabically) in studies such as Dave (1967: 4),
 240 where subjects were told to read words directly from a script.⁵

241 Due to well-known constraints on aspiration in Indic languages (i.e. Grassmann’s Law,
 242 see Whitney 1889, Wackernagel 1896), Gujarati does not have monomorphemic sequences of
 243 breathy-voiced aspirated consonants and breathy vowels (i.e. *[C^hV]); furthermore, the low
 244 frequency of breathy segments in borrowed words means that new additions to the lexicon
 245 are unlikely to change this characteristic of the language.

246 Acoustic studies of breathy phonation in Gujarati have been primarily focused on breathy
 247 vowels, and less so on breathy aspirated consonants. Fischer-Jørgensen (1967) examined
 248 various acoustic measures to determine what properties reliably distinguished breathy vowels
 249 from their modal counterparts. Spectral balance, as measured by the amplitude difference
 250 between the first and second harmonics (i.e. H1-H2), and three measures of spectral tilt, as
 251 measured by the amplitude difference between the first harmonic and the first, second, and
 252 fourth formants (i.e. H1-A1, H1-A2, and H1-A4, respectively), were all found to be more
 253 sharply falling in breathy vowels. Furthermore, a slightly lowered f0, lower overall intensity
 254 (as measured by RMS energy) were found to be characteristics of breathy vowels; aspiration
 255 noise was also found in some breathy productions, although this was assessed only visually.
 256 An earlier study by Pandit (1957) also found both low f0 and an increase in aspiration noise
 257 at higher frequencies to be associated with breathy vowels, while a later study by Bickley
 258 (1982) also confirmed that a higher H1-H2 value was a reliable indicator of breathiness.
 259 Dave (1967) focused on the formant structure of breathy vowels, finding that they are largely
 260 indistinguishable from modal vowels in vowel quality. In the acoustic component of Khan
 261 (2010b, 2012), a study of ten Gujarati speakers’ voice quality, it was further confirmed that
 262 breathy vowels have a significantly steeper spectral balance (as measured by H1*-H2*) and
 263 spectral tilt (as measured by H1*-A3*) than their corresponding modal vowels, concurring
 264 with previous studies. Unlike previous studies of Gujarati, however, the data examined in
 265 Khan (2010b, 2012) were collected in a more naturalistic setting (as was done for the current
 266 study), and the spectral measures were corrected for the effects of formant frequencies and
 267 bandwidths (Hanson 1995) using Iseli et al.’s (2007) algorithm, as indicated with the asterisk
 268 (*). Furthermore, Khan (2010b) found that the midpoints of breathy vowels had lower CPP
 269 values than modal vowels, as well as significantly steeper rises in intensity.

270 Perception studies of Gujarati breathy vowels largely concur with the main predictions
 271 of acoustic studies: while f0 and aspiration noise can have some influence on voice quality
 272 categorization, a high H1-H2 value is consistently found to be the strongest cues for breathy
 273 voice. In the listening component of her study, Fischer-Jørgensen (1967) determined that
 274 the perception of synthesized breathy vowels in Gujarati was largely dependent on the

⁵ For an analysis distinguishing dialects based on phonation type, see Modi (1987).

275 fundamental, which had a low frequency at the onset of the vowel but a high amplitude (H1)
 276 throughout (measured relative to the rest of the spectrum); other acoustic cues were determined
 277 to be less important for perception. In the perception component of Bickley's (1982) study, it
 278 was found that Gujarati speakers rely solely on spectral balance (H1-H2) when categorizing
 279 the voice quality of synthesized vowels; aspiration noise did not appear to influence voice
 280 quality categorization. Furthermore, in a cross-linguistic study of the perception of linguistic
 281 voice quality by speakers of English, Spanish, and Gujarati, Esposito (2010a) also found that
 282 Gujarati-speaking listeners rely primarily on H1-H2 differences when categorizing vowels
 283 excised from various non-Indic languages (i.e. Krathing Chong, Fuzhou, Green Mong, White
 284 Hmong, Mon, Santa Ana Del Valle Zapotec, San Lucas Quiavini Zapotec, Tlacolula Zapotec,
 285 Tamang, and !Xóǿ), even in cases where the phonation contrasts in those other languages
 286 were not made using differences in H1-H2. Considering this strong bias amongst Gujarati
 287 speakers to attend to H1-H2 differences when categorizing vowels, it follows that Gujarati
 288 speakers are in fact more sensitive to very small changes in H1-H2 than are speakers of other
 289 languages, a hypothesis supported in Kreiman, Gerratt & Khan's (2010) perception study of
 290 speakers of English, Thai, and Gujarati.

291 While the majority of studies of breathy phonation in Gujarati have focused on its acoustic
 292 properties and their perception by native speakers, a handful of articulatory studies can also
 293 be found in the literature. Fischer-Jørgensen's (1967) study incorporated two articulatory
 294 components on a subset of her subjects, including an EGG analysis of two speakers and an
 295 aerodynamic analysis of three speakers. She found that breathy vowels are produced with
 296 greater airflow and shorter closed phase and possibly a wider glottis. Modi (1987) used x-ray
 297 data of the word [kɛʋəɪ] 'proverb' to determine that breathy phonation in 'murmur dialects'
 298 such as Standard Gujarati involves a lowered and widened glottis. Most recently, the first
 299 large-scale EGG study of Gujarati vowels (Khan 2010b, 2012) found that breathy vowels
 300 have a significantly lower contact quotient (CQ) than corresponding modal vowels, signifying
 301 that breathy phonation involves a more open glottis than modal vowels. In a further cross-
 302 linguistic extension of the Khan (2010b, 2012) study, Keating et al. (2010) showed that this
 303 difference in CQ closely resembled the EGG properties of other languages with a phonemic
 304 distinction between modal and breathy vowels. To date, there has not been an EGG study of
 305 breathy-voiced aspirated consonants in Gujarati.

306 2.3.2 White Hmong

307 White Hmong is a Hmong-Mien language spoken in Laos, Thailand, and by a large immigrant
 308 community in the US. It contrasts seven tones: rising (45), mid (33), low (22), mid-rising
 309 (24), high-falling (52), low-falling (21), and falling (42). Two of the tones are associated with
 310 non-modal phonation: the low-falling tone (21) is creaky and the falling tone (42) is breathy.

311 In addition, White Hmong has a large consonant inventory which includes voiced,
 312 voiceless, and prenasalized plosives. A unique feature of White Hmong, that is not found in
 313 other varieties such as Green Mong, is a four-way stop contrast within the non-prenasalized
 314 alveolar place of articulation [t^h d^h]; the last consonant of that set, [d^h], is characterized as
 315 a 'whispery voiced alveolar stop, with optional aspiration' in Jarkey (1987: 66). The voiced
 316 unaspirated and breathy-voiced aspirated alveolar stops [d d^h] of White Hmong are modern
 317 reflexes of laterally-released velar stops in Proto-Western Hmong [k^l k^h] (Mortensen 2000:
 318 14–15); these correspond to laterally-released alveolar/velar stops [t^l ~ k^l t^h ~ k^h] in other
 319 Western Hmong dialects such as Green Mong (Golston & Yang 2001; Mortensen 2004: 3).
 320 There is a restriction on the co-occurrence of breathy-voiced aspirated [d^h] and following
 321 vowels bearing the falling breathy tone (*[C^hY 42]) or the high-falling tone (*[C^hV 52]).

322 Previous research on the acoustic and electroglottographic properties of phonation in
 323 White Hmong showed that the amplitude of the first harmonic (H1*) and derivative-EGG
 324 closure peak amplitude (DECPA) are the most successful measures of phonation in that they
 325 distinguish all three phonation types (i.e. breathy, modal, creaky), though not all at the same

326 point in the vowel. Other measures distinguish at least two of the three phonation categories.
 327 Of particular interest to the current study are the measures that distinguish breathy from modal
 328 phonation. The amplitude of the first harmonic ($H1^*$), the amplitude of the first harmonic
 329 minus the amplitude of the second harmonic ($H1^*-H2^*$) and closed quotient (CQ) distinguish
 330 breathy from modal phonation at the beginning of the vowel, while DECPA, $H1^*$, $H1^*-H2^*$,
 331 and CQ distinguish these phonations at the middle of the vowel and CQ, at the end of the
 332 vowel (Esposito 2010c). An additional study, Keating et al. (2010), found that CQ, DECPA
 333 (i.e. ‘PIC’), and $H1^*-H2^*$ successfully distinguished the phonation types of White Hmong
 334 averaging across the entire vowel duration. To date, there have not been any studies on the
 335 perception of phonation by White Hmong listeners.

336 One study, Fulop & Golston (2008), examined vowels with breathy voice, modal voice,
 337 and after breathy-voiced aspirated stops (which they called ‘whispery voiced plosives’)
 338 as produced by two speakers of White Hmong. They measured the amplitude of the first
 339 harmonic minus the amplitude of the second ($H1-H2$) and third harmonics ($H1-H3$) as well as
 340 harmonicity during (i) the consonant release and (ii) the consonant closure phase. During the
 341 consonant release, all three measures distinguished all three vowels types. However, during the
 342 closure phase, $H1-H3$ and harmonicity failed to distinguish any of the phonations, while $H1-$
 343 $H2$ only distinguished the modal from the breathy vowels. Results support the idea that breathy
 344 vowels are distinct from vowels after breathy-voiced aspirated consonants. In addition, the
 345 higher harmonicity values for vowel after the breathy-voiced aspirated consonants supports
 346 Laver’s definition for breathy aspiration/whispery phonation, which is posited to involve
 347 continuous airflow.

348 **2.4 Previous work on consonant aspiration and vowel breathiness**

349 Apart from the Fulop & Golston (2008) mentioned above, previous research investigating
 350 vowel breathiness and consonant aspiration has compared breathy-voiced vowels to modal
 351 vowels following VOICELESS aspirated consonants (as opposed to vowels following BREATHY-
 352 VOICED aspirated consonants). For example, Watkins (1999) studied phonation in Wa and
 353 compared CQ values for breathy vowels to those produced after voiceless aspirated consonants
 354 for five timepoints within a vowel. Results showed that there were timing differences between
 355 the breathy vowels and the vowels after the aspirated consonants. Breathly vowels began with
 356 a higher CQ (i.e. more contact) than vowels after aspirated consonants. However, for the
 357 remainder of the vowel, breathy vowels have a lower CQ (i.e. less contact) than the vowels
 358 after the aspirated consonants. This trend continued until the last measured timepoint, when
 359 the two vowels types had roughly the same CQ. In addition, Garellek & Keating (2010) found
 360 that Jalapa Mazatec breathy vowels and modal vowels after voiceless aspirated consonants
 361 shared similar values in $H1^*-H2^*$, $H1^*-A1^*$, $H1^*-A2^*$, and CPP.

362 **3 Current study**

363 **3.1 Methods**

364 **3.1.1 Speakers**

365 **3.1.1.1 Gujarati**

366 Ten native speakers of Gujarati (three male, seven female) were recorded at the Phonetics
 367 Laboratory at UCLA’s Linguistics Department.⁶ All but two subjects were in their 20s or 30s

⁶ As our research questions do not bear on the use of voice quality measures in gender identification or in gender-specific phonation properties, we did not balance the number of speakers across gender lines. See Klatt & Klatt (1990) and Iseli et al. (2007) among others for studies of voice quality differences

Table 1 Speakers, gender, approximate age, birthplace, and number of years in the US.

Language	Speaker	Gender	Age (years, approx.)	Birthplace	Years in the US
Gujarati	1	M	24	India	<1
	2	F	22	India	<1
	3	M	21	India	<1
	4	F	20	India	<1
	5	F	50	India	26
	6	F	29	India	3
	7	F	23	India	<1
	8	F	24	India	<1
	9	F	30	India	<1
	10	M	25	India	<1
White Hmong	1	M	44	Laos	20
	2	M	58	Laos	35
	3	M	35	Laos	20
	4	M	38	Laos	30
	5	M	24	US	24
	6	M	58	Laos	30
	7	F	28	Thailand	16
	8	F	50	Laos	10
	9	F	34	Laos	30
	10	F	24	Laos	17
	11	F	27	US	27
	12	F	28	Laos	24

368 and had spent the majority of their lives in India, having only recently (<1 year) moved to
 369 the US at the time of the recording. Of the remaining two speakers, one was in her 50s and
 370 had lived in the US for 26 years, and another was in her 20s and had lived in the US for three
 371 years. All subjects were also fluent speakers of English as well as various Indic languages,
 372 most commonly Hindi and Marathi, although all reported their first language to be Gujarati.
 373 Native fluency in Gujarati was assessed by asking the potential subject questions regarding his
 374 or her place of origin and length of stay in the US. All subjects reported that they continued
 375 to speak Gujarati on a daily basis and all subjects were fully literate in Gujarati.

376 3.1.1.2 *White Hmong*

377 Twelve native speakers of White Hmong (six male, six female) were recorded at the Hmong
 378 American Partnership (St. Paul, Minnesota). Speakers ranged from 24 to 58 years of age and
 379 were born in Laos, Thailand, or the US, and resided in Minneapolis/St. Paul, Minnesota, at
 380 the time of the experiment. Eleven of the speakers spoke English in addition to White Hmong;
 381 the reported age of English onset ranged from 5 to 18 years of age. One speaker (Speaker 8)
 382 was a monolingual White Hmong speaker. Native fluency in White Hmong was assessed by
 383 asking the potential subjects questions regarding his or her place of origin and length of stay
 384 in the US. All speakers reported that they used White Hmong daily and all were fully literate
 385 in White Hmong.

386 Table 1 summarizes the background information on the speakers; gender, approximate
 387 age, country of birth, and number of years in the United States are given for both Gujarati
 388 and White Hmong subjects.

across genders. Pilot work for the Gujarati component of the current study (Khan 2010b) suggests that males and females do not use different cues for voice quality distinctions in Gujarati, unlike the case in languages such as Santa Ana del Valle Zapotec (Esposito 2010b).

Table 2 Gujarati and White Hmong wordlist. Gujarati words are written in the Gujarati alphasyllabary and White Hmong words are written in the Hmong Romanized Popular Alphabet. Words from both languages are transcribed in IPA under the orthographic representation, and glossed into English below the IPA transcription.

Gujarati			White Hmong		
Breathy V CV̤	Post-aspirated V C ^h V	Modal CV	Breathy V CV̤	Post-aspirated V C ^h V	Modal CV
બહાર b̤ar	ભાર b ^h ar	બાર bar	dag	dhas	daj
'outside'	'burden'	'twelve'	d̤a 42 'lie; fool'	d ^h a 22 'separate'	da 52 'yellow'
બાનું b̤aṇũ	ભાન b ^h an	બાણ baṇ	dig	dhis dhus	
'excuse'	'consciousness'	'arrow'	d̤i 42 'probe; dig with a stick'	d ^h i 22 d ^h u 22 'the bubbling sound of boiling food'	
દૂષિતું d̤uʃiṭũ	ઢિલવું ḍiḷvũ	ડોળી ḍoḷi	dog dig	dhos	dos
'polluted'	'to spill'	'eyeball'	d̤ɔ 42 d̤i 42 'average'	d ^h ɔ 22 'fits together'	dɔ 22 'onion'

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3.1.2 Speech materials

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Both the Gujarati and White Hmong data sets consisted of three types of words, categorized by their target consonant–vowel (CV) sequence: (i) a voiced unaspirated consonant followed by a breathy vowel (i.e. [CV̤], 'Breathy V'); (ii) a breathy-voiced aspirated consonant followed by a modal vowel (i.e. [C^hV], 'Breathy-aspirated C'); or (iii) a voiced unaspirated consonant followed by a modal vowel (i.e. [CV], 'Modal'). For the sake of convenience, we use the term 'post-aspirated vowel' as equivalent to 'modal vowel following a breathy-voiced aspirated consonant' henceforth. The wordlist for both languages is presented in Table 2.

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3.1.2.1 Gujarati

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Gujarati words were elicited in the following method. First, the investigator revealed a flashcard displaying the target word written in Gujarati orthography (with an English translation below) for no more than two seconds. The speaker then had to create a sentence immediately beginning with the word. The recording was then started, and the speaker produced the sentence as many times as possible within a fixed ten-second window. To familiarize this method to the speakers, a flashcard displaying ડુગ્લ 'dog' ([kuṭro]) was provided, after which, the investigator (acting as a subject) would create the sentence [kuṭro b^hagi gəjɔ] 'The dog ran away', as an example, and produce it as many times as possible in ten seconds as an illustration of the task. Later, measurements were taken (as explained below) of all repetitions of these target words, and these measurements were then averaged across repetitions of each word before proceeding with the statistical analysis.⁷

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By asking the subjects to produce the words in a sentence of their own creation and by keeping the orthographic representation hidden for the duration of the recording, it was possible to minimize the effects of spelling pronunciation (i.e. disyllabic pronunciation) associated with reading tasks in Gujarati, while the increased speech rate and use of

⁷ The spectral measurements of a total of 14 of 438 Gujarati tokens were removed from the averaging, due to machine mistracking of F1 (which was necessary to correct the spectral measures for the effects of formant frequencies and bandwidths), ultimately leading to the removal of [b^har] 'burden' from Speaker 7's spectral data; the EGG and CPP data for those tokens were unaffected by the mistracking and thus included in later analyses. The EGG data for Speaker 7 was corrupted during the recording of [ḍiḷvũ] 'to spill', and thus CQ and PIC were not measured for that word. Lastly, the word [d̤uʃiṭũ] 'polluted' was not recorded at all from Speaker 8 due to unfamiliarity with the term.

413 familiar vocabulary items helped discourage the use of formal register (i.e. breathy-modal
 414 neutralization). Furthermore, of the four sources of breathiness in Gujarati (i.e. [əɦV], [Vɦə],
 415 [#ɦ], [VCɦ]), the last three are largely restricted to very casual, lenited speech, inappropriate
 416 for a laboratory setting; thus, all target words come from the more stable [əɦV] source.

417 3.1.2.2 *White Hmong*

418 All White Hmong words were uttered in the frame *Rov hais ____ dua* [tɔ24 hai22 ____ duə33]
 419 ‘Say ____ again’. The onset consonants of the target words were limited to alveolars as that
 420 is the only place of articulation with non-prenasalized breathy-voiced aspirated consonants
 421 in White Hmong. Six of the words were monosyllabic; the other two words were disyllabic,
 422 in which only the first syllable was examined.

423 Because breathy vowels only occur on the falling tone (42), and breathy-voiced aspirated
 424 consonants cannot cooccur with the breathy-falling tone (42) or the high-falling tone (52), the
 425 tones examined in the current study include the high-falling tone (52) for modal consonant-
 426 vowel sequences, the low tone (22) for breathy-voiced aspirated consonants followed by modal
 427 vowels, and the falling tone (42) for the unaspirated consonants followed by breathy vowels.

428 3.1.3 Measurement

429 For both languages, simultaneous audio and electroglottographic recordings were made
 430 using a Glottal Enterprises two-channel electroglottograph and a head-mounted microphone.
 431 Acoustic and electroglottographic measurements were taken automatically using VoiceSauce
 432 (Iseli et al. 2007, Shue, Keating & Vicenik 2009) and EGGWorks (Tehrani 2009), respectively.
 433 The acoustic and electroglottographic parameters along which the data were measured were
 434 chosen based on their reported success in distinguishing modal and breathy vowels in the
 435 most recent studies of Gujarati (Keating et al. 2010; Khan 2010b, 2012) and White Hmong
 436 (Esposito, in press, Keating et al. 2010).⁸ The three acoustic parameters included H1*-
 437 H2*, H1*-A3*, and cepstral peak prominence (CPP) as defined in Hillenbrand et al. (1994).
 438 Both spectral measures (i.e. H1*-H2* and H1*-A3*) were corrected for surrounding formant
 439 frequencies and bandwidths (Hanson 1995) using the Iseli et al. (2007) method. The two
 440 electroglottographic parameters included CQ – measured using the hybrid method with a
 441 25% threshold as explained above – and DECPA, defined as the peak positive value for each
 442 glottal pulse in the first derivative of the electroglottographic signal.

443 Measurements were made by dividing each vowel into nine parts with equal duration
 444 and then averaging the value for a given measure within each part. Only the first five parts
 445 (essentially, the beginning and the middle of the vowel) were examined as we reasoned that
 446 the effects of breathy-voiced aspirated consonants would be localized to the beginning and,
 447 to a lesser extent, the middle of the vowel.⁹ Because they are defined as the first five-ninths of
 448 the vowel’s duration, these five timepoints are not of equal duration across tokens. They are
 449 in effect normalized for overall vowel duration.

⁸ Additional acoustic and electroglottographic measures were automatically taken by VoiceSauce and analyzed for statistical significance. Measures such as first formant quality (Q1), calculated as the first formant frequency divided by its bandwidth (see Pennington 2005), were calculated and found to not statistically distinguish vowel types in either language; thus, their results are not reported here.

⁹ Given that the consonants we examined are phonetically oral stops and thus do not have meaningful spectral properties, and given that this is an acoustic and electroglottographic study rather than an imaging study (e.g. photo-electroglottography), we did not investigate the phonetic properties of the consonants themselves, focusing instead on their release and the following vowel. See Esposito et al. (2007) for an investigation of the acoustic, aerodynamic, and electroglottographic properties of breathy oral stops and breathy nasal stops in three related Indic languages: Marathi, Hindi, and Bengali.

Table 3 Measures that distinguish vowels after breathy-voiced aspirated consonants from either breathy vowels or modal vowels at five timepoints (T1–T5) in Gujarati. All measures listed showed a statistically significant difference ($p \leq .001$) between the two categories in question.

Measures that distinguish vowels after breathy-voiced aspirated consonants from timepoints					
	1	2	3	4	5
Breathy vowels		CPP H1*-H2* H1*-A3*	CPP H1*-H2*		
Modal vowels	H1*-H2* H1*-A3* CQ	CPP H1*-H2* H1*-A3* CQ	CPP H1*-H2* H1*-A3* CQ	CPP H1*-H2* H1*-A3* CQ	CPP H1*-H2* H1*-A3* CQ

450 3.2 Results

451 Separate ANOVAs and post-hoc pair-wise comparisons for each measure at each timepoint
 452 were used to determine if there was a significant ($p \leq .001$) difference between the vowel
 453 types (i.e. breathy, post-aspirated, and modal). The current study is particularly concerned
 454 with comparing post-aspirated vowels to breathy and modal vowels. For a detailed analysis
 455 comparing breathy vowels to modal vowels, see Khan (2010b, 2012) for Gujarati and Esposito
 456 (in press) for White Hmong.

457 3.2.1 Gujarati

458 The results of the acoustic and EGG measures across five timepoints for Gujarati are presented
 459 in Table 3. Only measures that show a significant difference between the phonation categories
 460 (i.e. post-aspirated vowels compared to either breathy or modal vowels) are given. Graphs of
 461 the average values for statistically successful measures ($p \leq .001$) across five timepoints are
 462 presented in Figure 1. DECPA is not included in the graphs for Gujarati, as this measure was
 463 not statistically successful in distinguishing any two of the three categories.

464 3.2.1.1 Results of acoustic measures

465 Spectral results indicate that breathy, modal, and post-aspirated vowels are not significantly
 466 different at the consonant release, but the three soon separate into distinct categories in
 467 the next few timepoints; by the midpoint of the vowel, however, breathy and post-aspirated
 468 vowels become indistinguishable in their spectral properties, while remaining distinct from
 469 modal vowels. Specifically, H1*-H2* is significantly higher in post-aspirated vowels than in
 470 breathy vowels at timepoints 2 and 3; the same measure also distinguishes both categories
 471 from modal vowels, which have the lowest H1*-H2* values in the set. By timepoint 4, however,
 472 breathy and post-aspirated vowels are no longer statistically distinct from each other, while
 473 they both remain significantly higher than modal vowels. In terms of overall changes in mean
 474 values, modal vowels exhibit a low H1*-H2* across all five timepoints, while post-aspirated
 475 vowels show a dynamic shape, reaching their highest H1*-H2* values at timepoint 2; breathy
 476 vowels are similar to post-aspirated vowels in terms of this dynamic shape, although with a
 477 delayed peak, exhibiting their highest H1*-H2* values at timepoints 4 and 5.

478 Like H1*-H2*, spectral tilt as measured by H1*-A3* is significantly higher (i.e. more
 479 steeply falling) in post-aspirated vowels than in breathy vowels at timepoints 2 and 3, while
 480 both categories exhibit a significantly steeper tilt than modal vowels across timepoints 3, 4,
 481 and 5. Like the overall changes in means of H1*-H2* values, modal vowels maintain a low,
 482 flat H1*-A3* value, while post-aspirated vowels reach their highest value at timepoints 2 and
 483 3 and breathy vowels show a similar but delayed peak at timepoint 4.

484 As a measure of the strength of the signal over noise across the spectrum, CPP is
 485 expected to be lower in breathier phonations. Indeed, CPP measures are generally lower for

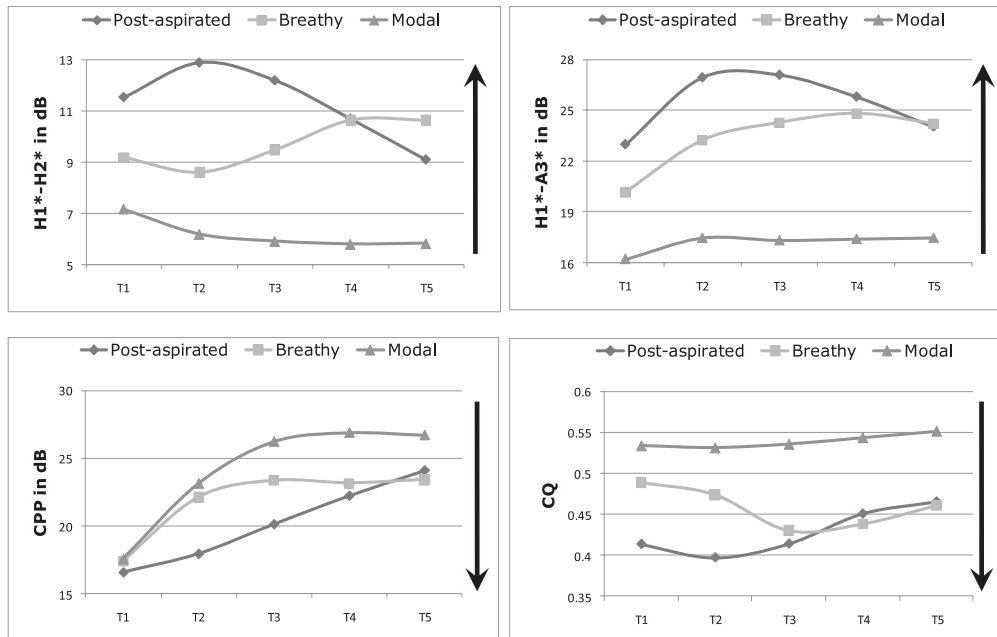


Figure 1 Graphs of the average H1*-H2* (dB), H1*-A3* (dB), CPP (dB), and CQ (unspecified units) values for vowels after breathy-voiced aspirated consonants (labeled 'post-aspirated' in the graphs above), breathy vowels, and modal vowels at each of the first five of nine timepoints (T1-T5) in Gujarati. For each graph, the arrow points in the direction of increased breathiness.

486 post-aspirated vowels and higher for modal vowels, with an intermediate value for breathy
 487 vowels. However, the specifics are slightly different from the patterns seen in the measures
 488 of spectral tilt and spectral balance. All three categories show a rise in CPP across all five
 489 timepoints, both starting and ending with statistically non-distinct values at timepoints 1
 490 and 5; however, the sharpness of their rises across the intermediate timepoints is different. At
 491 timepoint 2, both breathy and modal vowels sharply rise in CPP and are not distinguished from
 492 one another, while post-aspirated vowels show a shallower rise and are thus significantly lower
 493 in CPP than the other two categories. By timepoint 3, however, all three categories separate and
 494 are statistically distinct from one another, with post-aspirated vowels having the lowest CPP
 495 value (i.e. noisiest and/or least periodic) and modal vowels having the highest. The pattern
 496 is then reversed at timepoint 4, with breathy and post-aspirated vowels not distinguished but
 497 modal vowels continuing to exhibit a significantly higher value.

498 3.2.1.2 Results of electroglottographic measures

499 EGG data also reveal distinctions in the production of modal, breathy, and post-aspirated
 500 vowels in Gujarati. Post-aspirated vowels have a lower CQ than modal vowels at all timepoints,
 501 but are not statistically distinguished from breathy vowels at any timepoint. Post-aspirated
 502 vowels exhibit their lowest (i.e. breathiest) CQ value at timepoint 2. At timepoint 3, breathy
 503 vowels reach their lowest CQ value, essentially merging with the post-aspirated category. After
 504 timepoint 3, both categories gradually become less breathy but still significantly distinct from
 505 modal vowels, with the average CQ values of the breathy and post-aspirated vowels appearing
 506 indistinguishable from one another.

507 DECPA does not distinguish any two of the three categories in Gujarati following our
 508 use of $p \leq .001$ as a benchmark for statistical significance; however, post-aspirated and

Table 4 Measures that distinguish vowels after breathy-voiced aspirated consonants from either breathy vowels or modal vowels at five timepoints (T1–T5) in White Hmong. All measures listed showed a statistically significant difference ($p \leq .001$) between the two categories in question.

Measures that distinguish vowels after breathy-voiced aspirated consonants from timepoints					
	1	2	3	4	5
Breathy vowels	CPP	CPP	CPP	CPP	CPP
	H1*-H2*				H1*-H2*
	CQ	CQ	CQ	CQ	CQ
	DECPA	DECPA	DECPA	DECPA	DECPA
Modal vowels	H1*-H2*	H1*-H2*			
	CQ	CQ			
	DECPA	DECPA	DECPA		

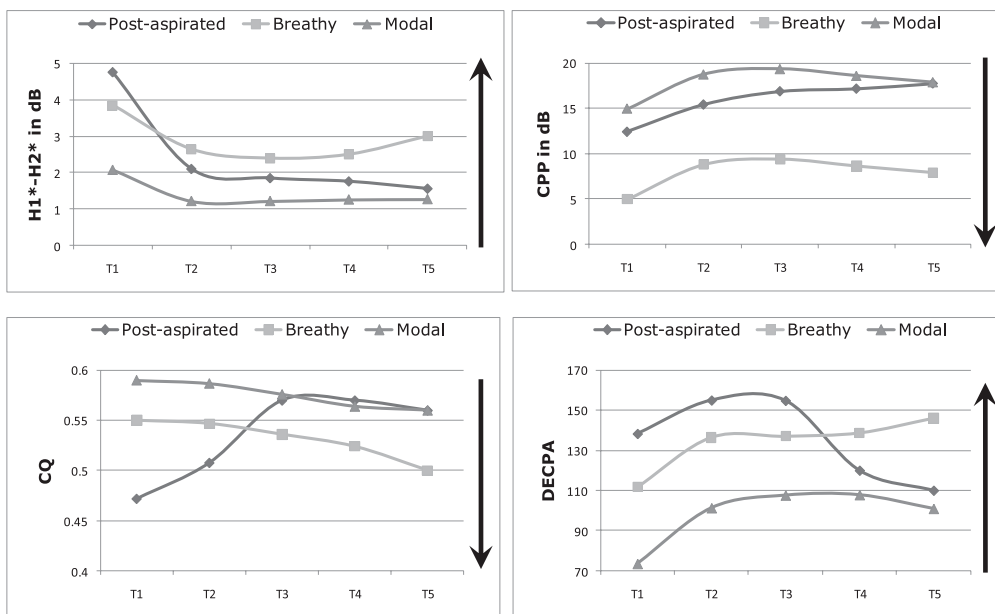


Figure 2 Graphs of the average H1*-H2* (dB), CPP (dB), CQ (unspecified units), and DECPA (unspecified units) values for vowels after aspirated consonants (labeled ‘post-aspirated’ in the graphs above), breathy vowels, and modal vowels in each of the first five of nine timepoints (T1–T5) in White Hmong. For each graph, the arrow points in the direction of increased breathiness.

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modal vowels are distinguished in DECPA in the first timepoint, with post-aspirated vowels exhibiting the higher value, following a lower standard for significance ($p = .005$).

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3.2.2 White Hmong

The results of the acoustic and EGG measures across five timepoints for White Hmong are presented in Table 4. Only measures that show a significant difference between the phonation categories are given. Individual graphs of the average values for CPP, H1*-H2*, CQ, and DECPA across five timepoints are presented in Figure 2. The results of H1*-A3* are not shown in the graphs because this measure does not significantly distinguish any two of the three categories in White Hmong.

518 **3.2.2.1** *Results of acoustic measures*

519 On the measure H1*-H2*, vowels after breathy-voiced aspirated consonants were breathier
 520 than phonemically breathy vowels at the first timepoint. (That is, they had a significantly
 521 higher H1*-H2* value.) By point 2, however, the H1*-H2* value for the post-aspirated vowels
 522 is no longer significantly higher than that of breathy vowels. In fact, on points 2, 3, and 4,
 523 there is no significant difference between the post-aspirated and breathy vowels. However,
 524 by point 5, the average H1*-H2* value for the breathy vowels increases, while the value
 525 for the post-aspirated vowels decreases. These two vowel types are significantly different at
 526 this timepoint, with the post-aspirated vowels having a modal-like H1*-H2* value. The post-
 527 aspirated vowels and modal vowels are significantly different on the first two timepoints, when
 528 the post-aspirated vowels have a higher, breathy-like, H1*-H2* value. By point 3, when the
 529 H1*-H2* value drops for the post-aspirated vowels, this vowel type is no longer significantly
 530 different from modal vowels.

531 Like modal vowels, post-aspirated vowels have a significantly higher CPP (i.e. they are
 532 less noisy and/or more periodic) than breathy vowels throughout the five timepoints. In
 533 fact, there was no significant difference between the CPP values for modal vowels and post-
 534 aspirated vowels at any of the timepoints. Thus, along the CPP dimension, there are essentially
 535 two categories in White Hmong: breathy vowels and modal vowels, the latter category also
 536 including vowels preceded by breathy-voiced aspirated [dʰ].

537 **3.2.2.2** *Results of electroglottographic measures*

538 Post-aspirated vowels have a significantly different CQ value from breathy vowels on all five
 539 timepoints and are significantly different from modal vowels on the first two timepoints. On
 540 points 1 and 2, the post-aspirated vowels have even less vocal fold contact than the breathy
 541 vowels. But, by timepoint 3, the CQ value of the post-aspirated vowels increases such that it is
 542 significantly higher than breathy phonation and no longer significantly different from modal
 543 phonation.

544 For DECPA, the post-aspirated vowels are significantly breathier than either breathy or
 545 modal phonation until point 4.¹⁰ During points 4 and 5, the DECPA value for post-aspirated
 546 vowels drops and becomes more modal-like, becoming significantly lower than that of breathy
 547 vowels, but not significantly different from modal phonation.

548 **4 Discussion**

549 In the current study, we hypothesized that the difference between post-aspirated vowels –
 550 phonemically modal vowels following breathy-voiced aspirated stops ([^hV]) – and
 551 phonemically breathy vowels ([V]) would be manifested in the timing and/or degree
 552 of breathiness. Both timing and degree distinctions were found in both languages.
 553 Language-specific differences in the overall resemblance of post-aspirated vowels to other
 554 categories were also found, as well as differences in the reliability of specific acoustic and
 555 electroglottographic measures to distinguish categories. This section expands on these cross-
 556 linguistic comparisons, and explores possible explanations for the cross-linguistic differences.

557 We hypothesized that the breathiness associated with breathy-voiced aspirated consonants
 558 would be localized to the consonant release and thus produced at the onset of the following

¹⁰ Contrary to expectation, the results for DECPA are higher for breathier phonation than they are for modal phonation. A similar trend is reported for breathy phonation in White Hmong (Esposito 2010c) and lax phonation in Yi (Kuang 2010, 2011). It is hypothesized that the vocal folds must move more quickly during breathy phonation due to their greater glottal aperture. This is in contrast with creaky phonation, which is produced by vocal folds that are close together, and therefore do not need to move as quickly to reach a state of glottal closure.

559 vowel, while the breathiness associated with breathy vowels would be produced across a larger
 560 portion of the vowel, with language-specific distinctions in the exact localization. Results
 561 confirmed this hypothesis. In both languages, there was a brief, early realization of breathy
 562 phonation (as indicated along multiple acoustic and EGG dimensions) after the breathy-
 563 voiced aspirated consonant; post-aspirated vowels generally began very breathy, but became
 564 more modal at the vowel midpoint, reflecting the fact that the breathiness is phonologically
 565 associated with the consonant and not with the vowel. The localization of breathy phonation
 566 in the breathy vowel, however, was more language-specific. In White Hmong, breathy vowels
 567 are uniformly breathy across the first half of their duration, while in Gujarati, breathy vowels
 568 start out with a more modal-like phonation, but become breathier by the midpoint. This
 569 dynamic realization of breathy vowels in Gujarati may be due to the historical source of
 570 vocalic breathiness in that language. Most breathy vowels in Gujarati derive from disyllabic
 571 sequences of vowels with intervocalic [ɦ]; thus, although truly disyllabic productions (e.g.
 572 [VɦV]) were not found in the current study (presumably due to the precautionary measures
 573 taken in the experimental setup), monophthongal breathy vowels in Gujarati may still exhibit
 574 the strongest breathiness near the midpoint, while still remaining breathier than modal vowels
 575 throughout the duration.

576 In addition to the difference in timing, we also hypothesized that post-aspirated vowels
 577 would show a different degree of breathiness than breathy vowels; however, the direction of
 578 magnitude was not inherently obvious from previous research. The results of our acoustic
 579 and electroglottographic analyses confirm that the two categories are distinguished by degree
 580 in both languages, clearly demonstrating that post-aspirated vowels begin with even greater
 581 breathiness than breathy vowels across various measures; in effect, the beginning of the post-
 582 aspirated vowel is breathier than that of a breathy vowel. This greater magnitude of breathiness
 583 in post-aspirated vowels is likely related to its short, early realization; given its association to
 584 the preceding consonant rather than to the vowel itself, the breathiness from breathy-voiced
 585 aspirated stops must be produced in a limited duration, and may thus require compensatory
 586 amplification to be reliably perceived by the listener.

587 The similarity of post-aspirated vowels to other categories was found to be language-
 588 specific. Post-aspirated vowels in both languages begin breathier and become more modal
 589 towards the vowel midpoint, but their overall resemblance to breathy or modal vowels differs.
 590 Along the various measures across the five timepoints, post-aspirated vowels were statistically
 591 more similar to breathy vowels in Gujarati, but statistically more similar to modal vowels
 592 in White Hmong. The strong similarity between modal vowels and post-aspirated vowels in
 593 White Hmong may be one of the reasons why Jarkey (1987) believed the aspiration associated
 594 with [d^h] to be optional; the aspiration produced following the release of [d^h] may simply be
 595 less salient in White Hmong due to its limited duration, typically not lasting beyond the first
 596 timepoint. Post-aspirated vowels in Gujarati, on the other hand, maintain their breathiness
 597 through the second or third timepoint (depending on the measure), and thus presumably have
 598 a more salient period of breathiness, more closely resembling breathy vowels.

599 In the absence of photographic data that would have been obtained through laryngoscopic
 600 or other invasive means in the current study, our findings on the articulation of breathy
 601 phonation in Gujarati and White Hmong breathy vowels and breathy-voiced aspirated stops
 602 are based on electroglottographic data as well as established correlations between acoustic
 603 outputs and source characteristics. For example, claims that breathy phonation involves a more
 604 open glottis are supported by the lower CQ values and higher H1*-H2* values in both breathy
 605 vowels and post-aspirated vowels across the two languages. Claims that breathy phonation
 606 involves a less abrupt glottal closure, however, require further investigation. The two measures
 607 related to the nature of the glottal closure are DECPA and H1*-A3*, which are expanded on
 608 below.

609 One may assume that DECPA, the peak positive value in the first derivative of the EGG
 610 signal, should be higher when the vocal folds approximate one another (i.e. in the closure
 611 phase) more quickly, but surprisingly, it was found to be higher in both types of breathy

612 sequences in White Hmong. (The measure was not reliable in distinguishing phonation
 613 categories in Gujarati.) A higher DECPA was also found for lax phonation (a phonation
 614 similar to breathy) in Yi (Keating et al. 2010; Kuang 2010, 2012) and in an additional study
 615 on White Hmong (Esposito, in press). A visual inspection of the EGG signal for the White
 616 Hmong data collected for the current study confirms that breathy phonation is characterized
 617 by a longer open quotient and shorter closing quotient (although it is presumed the glottis may
 618 not be completely closed in breathy phonation), with a very sharp transition between the two.
 619 Keating et al. (2010: 93) suggests that the higher DECPA values for breathy/lax phonation is
 620 due to a principle of ‘the further, the faster’; the greater degree of glottal opening in breathy
 621 phonation might require the vocal folds to move more quickly to return to a (semi-)closed
 622 state. It may be that this shortening of the transition to the closure phrase makes it possible for
 623 White Hmong speakers to elongate their open phase without elongating the entire glottal pulse,
 624 which would in turn significantly lower the pitch in this lexical tone language. In Gujarati, on
 625 the other hand, the longer open phase presumably comes about through a longer overall glottal
 626 pulse, generating the lower f_0 long established as a property of Gujarati breathiness (Pandit
 627 1957, Dave 1967, Fischer-Jørgensen 1967) while not significantly affecting the DECPA.

628 Because of the strengthening of the fundamental and the weakening of higher harmonics
 629 due to the less-abruptly closed glottis in breathy voice, $H1^*-A3^*$ is often cited as an acoustic
 630 correlate of the abruptness of glottal closure (Stevens 1977). Indeed, this measure successfully
 631 distinguishes both types of breathy phonation from modal phonation in Gujarati in the
 632 expected direction (although this was not the case in Fischer-Jørgensen’s 1967 study using
 633 uncorrected spectral measures), but it is not a useful measure in White Hmong. It is unclear
 634 why $H1^*-A3^*$ is unsuccessful in White Hmong, although one possibility would be that the
 635 higher frequency aperiodic noise generated in White Hmong breathy phonation is loud enough
 636 to boost the value of $A3^*$ to a level not significantly distinct from that of modal phonation.
 637 Indeed, the authors’ intuitions would characterize the breathy vowels of White Hmong as far
 638 noisier than those of Gujarati.

639 5 Conclusions and directions for further study

640 Despite their geographical and genetic distance, Gujarati and White Hmong have both
 641 independently generated a cross-linguistically unusual contrast between breathy and modal
 642 phonation in both voiced stop consonants ([C] vs. [C^h]) and vowels ([V] vs. [V̤]), a distinction
 643 so rare that it is not even shared by closely related languages such as Hindi or Green Mong.
 644 Both Gujarati and White Hmong have derived CV sequences in which phonetic breathiness
 645 can be associated phonologically to the consonant ([C^hV]) or to the vowel ([CV̤]) – but not to
 646 both (*[C^hV̤]), due to similar phonotactic restrictions in both languages. Both acoustically and
 647 articulatorily, these two types of sequences (i.e. [C^hV] and [CV̤]) are distinguishable within the
 648 first half of the vowel: in both languages, breathiness associated with stops is characterized by a
 649 short period of extreme breathiness concentrated at the onset of the consonant into the vowel,
 650 while breathiness associated with vowels is characterized by a less extreme production of
 651 breathiness, spread more evenly across the first half of the vowel (with some dynamic behavior
 652 in Gujarati). Naturally, the phonetic details are more language-specific, with some acoustic
 653 and electroglottographic measures being better indicators of breathiness in one language over
 654 the other (e.g. $H1^*-A3^*$ in Gujarati, DECPA in White Hmong), and with vowels following
 655 breathy-voiced aspirated consonants ([^hV]) more closely resembling phonemically breathy
 656 vowels ([V̤]) in Gujarati while they more closely resemble phonemically modal vowels ([V])
 657 in White Hmong.

658 The results of the present study show that timing and degree of breathiness are reliable in
 659 distinguishing breathy vowels from post-aspirated vowels. The question arises: If presented
 660 with breathiness in a CV sequence, can listeners rely on either timing or degree of breathiness

661 alone to determine the segment to which the breathiness is phonologically associated (i.e.
 662 [C^hV]) or [CV]), if they perceive the breathiness at all? There are secondary cues to
 663 distinguishing these segments in both languages. In White Hmong, all breathy vowels bear
 664 the falling tone 42, so f0 could play a vital role in the perception of breathiness, while in
 665 Gujarati, duration could play an important role in distinguishing these segments in that most
 666 breathy vowels in that language derive from disyllables and can be produced as such in certain
 667 registers, while post-aspirated vowels are not derived from such sequences. A follow-up to
 668 the current study would be a perception experiment where speakers are asked to identify
 669 and/or discriminate between [C^hV] and [CV] sequences (and possibly modal [CV] as another
 670 option). This future extension would allow us to determine how both cross-linguistic and
 671 language-specific cues assist native speakers of these two typologically rare languages in
 672 perceiving breathy voice and determining its segmental association in ambiguous contexts.
 673

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